

Common Application Form for open-ended Equity Schemes

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)

Distributor / Broker ARN	Sub-Broker Code	Registrar Serial No.
ARN -		

Application No. :

1 EXISTING UNITHOLDER [Please fill in your Folio No. below. Please furnish only KYC and PAN details in Section 2 (if not provided earlier) and proceed to Auto Debit Form]

Please note that applicant details and mode of holding will be as per existing Folio Number. Folio No.

2 APPLICANT'S INFORMATION (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words)

SOLE / FIRST APPLICANT'S PERSONAL DETAILS

Name Mr Ms M/s

PAN** (Mandatory) Enclosed PAN Card Copy KYC Compliance Proof*

Date of Birth† (Mandatory for Minors)

Occupation† () Private Sector Service Public Sector / Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify) _____

Guardian Name (if Sole / First applicant is a Minor) Contact Person (in case of Non-individual Investors only)

Mr Ms M/s

PAN** (Mandatory) Enclosed PAN Card Copy KYC Compliance Proof*

Date of Birth† (Mandatory for Minors) (PAN/KYC Compliant not required for contact person but required for Guardian of Minor)

Nationality† Country of Residence†

Address for Correspondence† [P.O. Box Address is NOT sufficient] (Should be same as in CVL records, please refer to points 6 in Important Instructions)

City	State	Country	Pin Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Contact Details

Phone O R Extn. Fax Mobile

Receive Account Statement, Annual Reports and other information instantly by e-mail* I/We wish to receive updates via SMS on my mobile ()

e-mail

I / We wish to receive the above by email I / We do not wish to receive the above by email * Note : Where the investor has not opted for any option or has opted for both options, the application will be processed as per the default option, i.e., receive the account statement, annual reports and other correspondence by e-mail and receive SMS updates on Mobile.

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in CVL records, please refer to points 6 in Important Instructions)

State	Country	City	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Status () Resident Individual Minor Partnership Company HUF FII NRI Trust Society AOP / BOI Others _____

Mode of Holding () Single Joint Anyone or Survivor

Name of Second Applicant

Mr Ms M/s

PAN** (Mandatory)

Enclosed () PAN Card Copy KYC Compliance Proof*

Name of Third Applicant

Mr Ms M/s

PAN** (Mandatory)

Enclosed () PAN Card Copy KYC Compliance Proof*

PoA Holder Details* (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

Name Mr Ms M/s

PAN** (Mandatory) Enclosed () PAN Card Copy KYC Compliance Proof*

* We.f. 01 February, 2008, if the investment is Rs. 50,000 and above, all the applicants including PoA Holder need to be KYC Compliant. Please see point 6 under Important Instructions.

** Please note that we.f. 01 January, 2008, copy of PAN Card is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please submit photocopy of PAN Card (alongwith the original) for verification, which will be return across the counter. Please see point 5 under Important Instructions.

‡ Please note that information sought here will be obtained from CVL also. In case of any differences, the CVL input will apply. ...continued overleaf ⇨

AUTO DEBIT FORM - For SIP Investments

1 ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY) (Cheque should be drawn on bank, details provided below ; Please attach cancelled Cheque copy.)

I / We hereby authorise HSBC Asset Management (India) Pvt. Ltd., Investment Manager to HSBC Mutual Fund acting through their authorised service providers to debit my / our following bank account by ECS (Debit Clearing) / Direct Debit Facility or any other facility for collection of SIP payments.

Name of the Account Holder as in Bank Records	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of the Bank	<input type="text"/>		
Branch Address	<input type="text"/>		
Account Number	<input type="text"/>	A/c. Type (<input checked="" type="checkbox"/>) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/>	* For NRI Investors
Monthly Debit Amount	<input type="text"/>	SIP Date (<input checked="" type="checkbox"/>) <input type="checkbox"/> 3rd <input type="checkbox"/> 10th <input type="checkbox"/> 17th <input type="checkbox"/> 26th	SIP Period Start Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> End Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
MICR Code	<input type="text"/>	◀ This is a 9 digit number next to your Cheque No.	IFSC Code <input type="text"/>

2 AUTHORISATION OF THE BANK ACCOUNT HOLDER [to be signed by the Account Holder(s)]

This is to inform I / we have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit Facility and that my / our payment towards my / our investment in HSBC Mutual Fund shall be made from my / our below mentioned bank account number with your bank. I / We authorise HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), acting through their service providers and representative carrying this ECS mandate / Direct Debit Facility Form to get it verified & executed. Mandate verification charges, if any, maybe charged to my / our account.

Account Number	<input type="text"/>	SIGNATURE(S) (As In Bank Records)	<input type="text"/>
	<input type="text"/>		<input type="text"/>
	Sole/First Account Holder		Second Account Holder
			Third Account Holder

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Received from Mr Ms M/s application for Units of _____

Scheme _____ Plan _____ Option / Sub-option _____

Lumpsum investment alongwith Cheque / DD No. _____ Dated _____

Drawn on (Bank) _____ Amount (Rs.)

SIP Investment Total Cheques ECS (Debit Clearing) / Direct Debit Facility Total Amount (Rs.)

Date /

Application No. : _____

Please Note : All purchase are subject to realisation of cheques / DD. ISC Stamp, Signature & date

3 BANK ACCOUNT DETAILS (MANDATORY as per SEBI Guidelines)
 My / Our bank details are the same as mentioned in point 1 of the Auto Debit Form. Yes No. If no, please provide the following details
 A/c. No. _____ A/c. Type Current Savings NRO* NRE* * For NRI Investors
 Bank Name _____
 Branch Address _____ City _____
 MICR Code (9 digit number next to your Cheque No.) ▶ _____ IFSC Code _____

4 INVESTMENT & PAYMENT DETAILS (Please Scheme / Option / Sub-Option and then choose Option A or B below) (Please use separate forms for Options A and B below)
 Scheme HEF HIOF HAIF HMEF HTSF HDF HEMF
 Option / Sub-option Growth (default) Dividend Reinvestment** Dividend Payout ** Not applicable in case of HTSF
 (A) LUMPSUM INVESTMENT :
 Investment Amount Rs. _____
 DD charges (Rs.) _____
 Net Amount (Cheque / DD amount) Rs. _____
 Mode of Payment Cheque / DD DD
 Cheque / DD No. _____
 Dated _____
 Cheque / DD Drawn on :
 Bank _____
 Branch _____
 City _____
 A/C No. _____
 A/c. Type Current Savings NRO* NRE* (* For NRI Investors)
 (B) SIP INVESTMENT : Frequency (Please Monthly Quarterly SIP Date 3rd 10th 17th 26th
 Amount (Rs. Figures) _____
 If the instalment amount is Rs. 50,000 and above then KYC needs to be completed. Period of enrolment From _____ To _____
 PAYMENT MECHANISM :
 (1) Cheques Total No. of Cheques _____
 First SIP instalment Cheque details :
 Cheque No. _____
 Dated _____
 Drawn on Bank _____
 Branch _____
 City _____
 A/C No. _____
 Second & subsequent instalments Cheque details :
 Cheque Nos. From _____ To _____
 Drawn on Bank _____
 Branch _____
 City _____
 A/C No. _____
 (2) ECS (Debit Clearing) / Direct Debit Facility
 (Please complete the SIP Auto Debit Form if you choose this Option)
 First instalment has to be through cheque / DD.
 Cheque / DD No. _____
 Bank _____
 Branch _____
 City _____
 A/C No. _____
 The first SIP instalment cheque should be dated the date of submission of the Application Form. Auto-Debit instructions will apply for subsequent instalments beginning with the nearest SIP Date at least 25 business days after the first SIP Date. Please note that the first SIP instalment cheque and Auto-Debit instruction should be for the same amount.

MANDATORY DECLARATION : The details of the bank account provided above pertain to my / our own bank account in my / our name Yes No. If No, my relationship with the bank account holder is Spouse Child Parent Relative Sibling Friend Others. Application Form without this information is liable to be rejected.

5 NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) (ref. important instruction 8)
 I/We _____ and _____ (strike out which is not applicable)
 do hereby nominate the undermentioned nominee(s) to receive the units allotted to my / our credit in my Folio in the event of my / our death.
Name and Address of Nominee(s) / Guardian (in case Nominee is a Minor)

	First Nominee	Second Nominee	Third Nominee
Name			
Address			
Allocation %			
Date of Birth (in case nominee is a minor)			

6 DECLARATION AND SIGNATURES
 The Trustees HSBC Mutual Fund
 Having read and understood the contents of the Offer Document(s) and Addenda of the Scheme(s) issued till date, I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the Scheme / Plan / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme, I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my/our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us. I / We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representatives responsible. I / We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my / our bank account. I / We have read and agreed to the terms and conditions for ECS / Direct Debit.
 *I/We confirm that I am/we are Non-Residents of Indian Nationality/ Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. *Applicable to NRI Date _____

Sole / First Applicant / Guardian	POA Holder
Second Applicant	POA Holder
Third Applicant	POA Holder

Applications from investors resident in USA or Canada shall be rejected.

ECS / Direct Debit - Terms & Conditions

- ECS facility is offered to the investors having bank accounts in **select cities mentioned below.**
 • Agra • Ahmedabad • Allahabad • Amritsar • Aurangabad • Bangalore • Bardhaman • Baroda • Bhubaneswar • Bhopal • Bhubaneswar • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Dehradun • Delhi • Durgapur • Erode • Gorakhpur • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamsheer • Jodhpur • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Mangalore • Mumbai • Mysore • Nagpur • Nellore • Panjim • Patna • Pune • Raipur • Rajkot • Ranchi • Salem • Shimla • Sholapur • Siliguri • Surat • Tirupati • Trichur • Trivandrum • Udaipur • Varanasi • Vijayawada • Vizag
 List of Banks / Branches for SIP Direct Debit Facility

Banks	Branches
Axis Bank Limited, Centurian Bank of Punjab, HDFC Bank Ltd., ICICI Bank, IDBI Bank Limited, IndusInd Bank Limited, ING Vysya Bank Ltd. and Kotak Mahindra Bank Limited	All Branches
Bank of Baroda, Bank of India and Punjab National Bank	Select Branches
- The cities in the list may be modified / updated / changed / removed at any time in future entirely at the discretion of HSBC Mutual Fund without assigning any reason or prior notice.

- If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- The bank account provided for ECS (Debit) should participate in local MICR clearing.
- Investor will not hold HSBC Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS / Direct Debit Facility.
- HSBC Asset Management (India) Pvt. Ltd., registrars CAMS and other service providers shall not be responsible and liable for any damages / compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- You can choose to discontinue this facility by giving 25 Business Days written notice to our Registrar CAMS.
- HSBC AMC and its service providers reserve the right to disclose the details of the Investors and their transactions using the SIP Auto Debit to third parties for the purposes of verification and execution of the Auto Debit Facility as also for the purpose of law enforcement, fraud prevention, audit and inspection requirement etc.
- The Investor undertakes and agrees that the SIP Auto Debit Facility requested for via this Form is subject to acceptance of the terms and conditions mentioned in Offer Document of the Scheme.

CALL US AT

HSBC MUTUAL FUND INVESTOR SERVICE CENTRES :

• Ahmedabad : Tel: 9898377319 / 21 • Bangalore : Tel: 080 41186519 / 21 • Chandigarh : Tel: 0172 5008119 / 21 • Chennai : Tel: 044 42008719 / 21 • Coimbatore : Tel: 9894477319 / 21
 • Hyderabad : Tel: 040 66674719 / 21 • Indore : Tel: 9893477319 / 21 • Jaipur : Tel: 9928037319 / 21 • Kochi : Tel: 9895477319 / 21 • Kolkata : Tel: 033 22139919 / 21
 • Lucknow : Tel: 9936797319 / 9935097321 • Mumbai : Tel: 022 66668819 / 21 • New Delhi : Tel: 011 41490719 / 21 • Pune : Tel: 020 - 26001119 / 21, 26134283 • Vadodara : Tel: 9898377319 / 21

CAMS CENTRES: Tel.: 1-800-425-2267

Contact us at hsbcmf@hsbc.co.in

Visit us at www.hsbcinvestments.co.in